

## 24 Universal coefficient theorem

Suppose that we are given  $H_*(X; \mathbf{Z})$ . Can we compute  $H_*(X; \mathbf{Z}/2\mathbf{Z})$ ? This is non-obvious. Consider the map  $\mathbf{RP}^2 \rightarrow S^2$  that pinches  $\mathbf{RP}^1$  to a point. Now  $H_2(\mathbf{RP}^2; \mathbf{Z}) = 0$ , so in  $H_2$  this map is zero. But in  $\mathbf{Z}/2\mathbf{Z}$ -coefficients, in dimension 2, this map gives an isomorphism. This shows that there's no *functorial* determination of  $H_*(X; \mathbf{Z}/2)$  in terms of  $H_*(X; \mathbf{Z})$ ; the effect of a map in integral homology does not determine its effect in mod 2 homology. So how *do* we go between different coefficients?

Let  $R$  be a commutative ring and  $M$  an  $R$ -module, and suppose we have a chain complex  $C_*$  of  $R$ -modules. It could be the singular complex of a space, but it doesn't have to be. Let's compare  $H_n(C_*) \otimes M$  with  $H_n(C_* \otimes M)$ . (Here and below we'll just write  $\otimes$  for  $\otimes_R$ .) The latter thing gives homology with coefficients in  $M$ . How can we compare these two? Let's investigate, and build up conditions on  $R$  and  $C_*$  as we go along.

First, there's a natural map

$$\alpha : H_n(C_*) \otimes M \rightarrow H_n(C_* \otimes M),$$

sending  $[z] \otimes m$  to  $[z \otimes m]$ . We propose to find conditions under which it is injective. The map  $\alpha$  fits into a commutative diagram with exact columns like this:

$$\begin{array}{ccc}
& 0 & 0 \\
& \uparrow & \uparrow \\
H_n(C_*) \otimes M & \xrightarrow{\alpha} & H_n(C_* \otimes M) \\
& \uparrow & \uparrow \\
Z_n(C_*) \otimes M & \longrightarrow & Z_n(C_* \otimes M) \\
& \uparrow & \uparrow \\
C_{n+1} \otimes M & \xrightarrow{=} & C_{n+1} \otimes M.
\end{array}$$

Now,  $Z_n(C_* \otimes M)$  is a submodule of  $C_n \otimes M$ , but the map  $Z_n(C) \otimes M \rightarrow C_n \otimes M$  need not be injective ... unless we impose more restrictions. If we can guarantee that it is, then a diagram chase shows that  $\alpha$  is a monomorphism.

So let's assume that  $R$  is a PID and that  $C_n$  is a free  $R$ -module for all  $n$ . Then the submodule  $B_{n-1}(C_*) \subseteq C_{n-1}$  is again free, so the short exact sequence

$$\begin{array}{ccccccc}
0 & \longrightarrow & Z_n(C_*) & \longrightarrow & C_n & \longrightarrow & B_{n-1}(C_*) & \longrightarrow & 0 \\
& & & & & \searrow & \downarrow & & \\
& & & & & & C_{n-1} & & 
\end{array}$$

splits. So  $Z_n(C_*) \rightarrow C_n$  is a split monomorphism, and hence  $Z_n(C_*) \otimes M \rightarrow C_n \otimes M$  is too.

In fact, a little thought shows that this argument produces a splitting of the map  $\alpha$ .

Now,  $\alpha$  is not always an isomorphism. But it certainly is if  $M = R$ , and it's compatible with direct sums, so it certainly is if  $M$  is free. The idea is now to resolve  $M$  by frees, and see where that idea takes us.

So let

$$0 \rightarrow F_1 \rightarrow F_0 \rightarrow M \rightarrow 0$$

be a free resolution of  $M$ . Again, we're using the assumption that  $R$  is a PID, to guarantee that  $\ker(F_0 \rightarrow M)$  is free. Again using the assumption that each  $C_n$  is free, we get a short exact sequence of chain complexes

$$0 \rightarrow C_* \otimes F_1 \rightarrow C_* \otimes F_0 \rightarrow C_* \otimes M \rightarrow 0.$$

In homology, this gives a long exact sequence. Unsplicing it gives the left-hand column in the

following diagram.

$$\begin{array}{ccccc}
 & 0 & & 0 & \\
 & \downarrow & & \downarrow & \\
 \text{coker}(H_n(C_* \otimes F_1) \rightarrow H_n(C_* \otimes F_0)) & \xrightarrow{\cong} & \text{coker}(H_n(C_*) \otimes F_1 \rightarrow H_n(C_*) \otimes F_0) & & \\
 \downarrow & & \downarrow & & \\
 H_n(C_* \otimes M) & \xrightarrow{=} & H_n(C_* \otimes M) & & \\
 \downarrow \partial & & \downarrow & & \\
 \text{ker}(H_{n-1}(C_* \otimes F_1) \rightarrow H_{n-1}(C_* \otimes F_0)) & \xrightarrow{\cong} & \text{ker}(H_{n-1}(C_*) \otimes F_1 \rightarrow H_{n-1}(C_*) \otimes F_0) & & \\
 \downarrow & & \downarrow & & \\
 0 & & 0 & & 
 \end{array}$$

The right hand column occurs because  $\alpha$  is an isomorphism when the module involved is free. But

$$\text{coker}(H_n(C_*) \otimes F_1 \rightarrow H_n(C_*) \otimes F_0) = H_n(C_*) \otimes M$$

and

$$\text{ker}(H_{n-1}(C_*) \otimes F_1 \rightarrow H_{n-1}(C_*) \otimes F_0) = \text{Tor}_1^R(H_{n-1}(C_*), M).$$

We have proved the following theorem.

**Theorem 24.1** (Universal Coefficient Theorem). *Let  $R$  be a PID and  $C_*$  a chain complex of  $R$ -modules such that  $C_n$  is free for all  $n$ . Then there is a natural short exact sequence of  $R$ -modules*

$$0 \rightarrow H_n(C_*) \otimes M \xrightarrow{\alpha} H_n(C_* \otimes M) \xrightarrow{\partial} \text{Tor}_1^R(H_{n-1}(C_*), M) \rightarrow 0$$

that splits (but not naturally).

**Example 24.2.** The pinch map  $\mathbf{RP}^2 \rightarrow S^2$  induces the following map of universal coefficient short exact sequences:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & H_2(\mathbf{RP}^2) \otimes \mathbf{Z}/2\mathbf{Z} & \longrightarrow & H_2(\mathbf{RP}^2; \mathbf{Z}/2\mathbf{Z}) & \xrightarrow{\cong} & \text{Tor}_1(H_1(\mathbf{RP}^2), \mathbf{Z}/2\mathbf{Z}) \longrightarrow 0 \\
 & & \downarrow 0 & & \downarrow \cong & & \downarrow 0 \\
 0 & \longrightarrow & H_2(S^2) \otimes \mathbf{Z}/2\mathbf{Z} & \xrightarrow{\cong} & H_2(S^2; \mathbf{Z}/2\mathbf{Z}) & \longrightarrow & \text{Tor}_1(H_1(S^2), \mathbf{Z}/2\mathbf{Z}) \longrightarrow 0
 \end{array}$$

This shows that the splitting of the universal coefficient short exact sequence cannot be made natural, and it explains the mystery that we began with.

**Exercise 24.3.** The hypotheses are essential. Construct two counterexamples: one with  $R = \mathbf{Z}$  but in which the groups in the chain complex are not free, and one in which  $R = k[d]/d^2$  and the modules in  $C_*$  are free over  $R$ .

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